



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/748,444	12/30/2003	Orla McCullagh	S63.2B-10954-US01	2373
60117	7590	03/02/2007		
RATNER PRESTIA P.O. BOX 980 VALLEY FORGE, PA 19482			EXAMINER SWEET, THOMAS	
			ART UNIT	PAPER NUMBER
			3738	

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	03/02/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

ED

<b>Office Action Summary</b>	Application No. 10/748,444	Applicant(s) MCCULLAGH ET AL.	
	Examiner Thomas J. Sweet	Art Unit 3738	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 08 December 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-3 and 6-52 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3 and 6-52 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Arguments***

Applicant's arguments, see page 11 of the remarks, filed 12/8/2006, with respect to rejections under 35 UCS 112 have been fully considered and are persuasive. The rejections of claims 9, 18 and 30-33 under 35 USC 112 have been withdrawn.

Applicant's arguments with respect to claims 1-27 have been considered but are moot in view of the new ground(s) of rejection. With regard to the argument that Shank et al fails to disclose or suggest at least one weld along the seam, the passage Col 10, lines 30-33 contradicts this by stating that the closed hypotube restricts weld penetration compared to the open embodiments (i.e. the open embodiments are welded on the seams for penetration to the wires). With regard to regions of the sections being separated, the regions of members 42a and 42b are not necessarily the regions contacting one another. With regard to claim 10, the passage Col 1-2 lines 52-7 states that the members are welded together (i.e. there are all connected, engage and are against each other).

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-3, 6-11, 13-27 and 34-36 are rejected under 35 U.S.C. 102(b) as being anticipated by Shank et al (US 6231581). Shank et al discloses a stent (such as figs. 36 or 37) comprising: a first section (42a), a second section (42b) and at least one securement member

Art Unit: 3738

(52), the at least one securement (52) member disposed about at least one region (the contact area with 52) of the first section (42a) and at least one region (the contact area with 52) of the second section (42b such as shown in fig. 5), the at least one securement member having an uncrimped diameter and a crimped diameter (Col 10, lines 23-27 for fig. 26 or is fully capable of having an uncrimped diameter prior to the crimped diameter of 30 shown in fig. 3, since this is a product by process limitation and 30 meets the final crimp diameter which could be formed by crimping, the limitation is met), the crimped diameter being less than the uncrimped diameter, when the at least one securement member is in the crimped diameter at least a portion of an inner surface of the at least one securement member is fixedly engaged (by compression or frictional contact) to the at least one region of the first section and the at least one region of the second section, in the crimped diameter a seam (such as shown in fig. 9) at least partially separating the at least one region (the contact area with 52) of the first section (42a) and the at least one region (the contact area with 52) of the second section (42B) from each other (i.e. the two regions don't touch and the seam spans between the two regions), wherein at least a portion of the at least one region of the first section and at least a portion of the at least one region of the second section comprise at least one weld positioned along the seam (as disclosed each of the members 42a and 42b is welded to the member 52, passage, Col 10, lines 30-33 demonstrated the inherency of welding on the seam).

With regard to claim 2, at least one of the first section and second section is at least partially constructed of at least one wire (42 is wire).

With regard to claim 3, at least one of the first section and second section is at least partially constructed of a plurality of struts, wherein adjacent struts define at least one cell opening (such as shown in figs. 2, 36 and 37).

With regard to claims 6-9, at least a portion of the at least one region of the first section and at least a portion of the at least one region of the second section are fused together along the seam (42a, 42b and 52 are welded/fuses together).

With regard to claim 10, at least one strengthening member, at least a portion of the at least one strengthening member (29, fig. 3) positioned against (welded together) the at least a portion of an inner surface of the at least one securement member (30) and the at least one portion of at least one of the at least one region of the first section (42a) and the at least one region of the second section (42b).

With regard to claim 11, at least one weld is positioned between the at least a portion of the inner surface of the at least one securement member the at least one portion of at least one of the at least one region of the first section and the at least one region of the second section, and the at least a portion of the at least one strengthening member (col 1, lines 65-67).

With regard to claim 13, at least one of the first portion and the second portion of the at least one strengthening member has a length of about 2 mm (Shank et al discloses about 2mm, which is applicable to fig. 3, col 8, lines 46-47).

With regard to claims 14 and 20-23, Shank et al discloses the use of nitinol and stainless steel (col 5, line 13).

With regard to claims 15 and 24, Shank et al discloses of radiopaque materials (col 13, lines 37-46).

Art Unit: 3738

With regard to claims 16 and 17, the at least one strengthening member has a thickness, the thickness being about 0.015 inches (Shank et al discloses a dimension, col 8, line 44 which can be categorized as thickness).

With regard to claim 18, Shank et al discloses self-expandable (col 1, lines 20-51 and col 12, lines 29-41) which is also inherently balloon expandable as well.

With regard to claim 19, the first section (42a) is a balloon expandable stent body and the second section (42b) is a self-expandable stent body (both are self-expandable which is inherently and fully capable of being balloon expandable as well since it is well known to seat a stent, filter, etc using a balloon after self-expansion).

With regard to claim, 25 and 26, Shank et al discloses a thickness being about 0.003 to about 0.007 inches (col 5, line 16).

With regard to claim 27, a third section (Col 10, lines 21-23), the at least one securement member (52) disposed about the at least one region of the first section (42a), the at least one region of the second section (42b), and at least one region of the third section, when the at least one securement member is in the crimped diameter the at least a portion of the inner surface of the at least one securement member is fixedly engaged to the at least one region of the first section, the at least one region of the second section and the at least one region of the third section.

With regard to claims 35-36, a plurality of securement members and a plurality of welds are shown based on fig. 37 having plural members 50g .

***Claim Rejections - 35 USC § 103***

Art Unit: 3738

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shank et al.

Shank et al discloses a stent as discussed above. Additionally, Shank et al discloses at least one strengthening member (29, fig. 3), the at least one strengthening member comprising a first portion and a second portion, the first portion of the at least one strengthening member positioned between the at least a portion of an inner surface (hidden in fig. 3) of the at least one securement member and at least one portion of at least one of the at least one region of the first section and the at least one region of the second section, the second portion extending beyond an end of the at least one securement member (the portion of 29 extending out in fig. 3). However, Shank et al does not disclose the combination. It would have been obvious to one of ordinary skill in the art at the time the invention was made to substitute the hook 29 for hooks 54 and 56 since such a modification amounts to mere substitution of one functionally equivalent hook for another within the art of stents.

Claims 28-33 and 37-52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shank et al in view Brown et al (US Pgpud 20030139798). Shank et al discloses a stent as discussed above. However, Shank et al remains silent as to including a therapeutic coating on the stent. It is well known in the art of vascular implants (i.e. stent, etc.) to include a therapeutic polymer coating (such as polycarboxylic acids) for the purpose of treating the vessel with medicament at the point of implantation as demonstrated by Brown et al [0044]. It would have

Art Unit: 3738

been obvious to one of ordinary skill in the art at the time the invention was made to incorporated a therapeutic polymer coating such as taught by Brown et al on the stent of Shank et al in order to provide medicament at the point of implantation in the vessel.

With regard to claims 30-32, Brown et al discloses the use of non-genetic agent, genetic agent and cells [0044]. Such agents are well known in the art of vascular implants (i.e. stent, etc.) and include for example heparin, DNA (which include the antisense portion of DNA), and donor cells (which inherently includes autologous, allogeneic, and/or xenogeneic cells).

With regard to claim 37, Brown et al discloses heparin.

With regard to claims 38-42, anti-proliferative agents, anti-inflammatory agents, the antineoplastic/antiproliferative/anti-miotic agents, the anti-coagulants, the vascular cell growth promoters, the vascular cell growth inhibitors and the anesthetic agents are non-elected member of the Markush group of claim 30 rejected using heparin.

With regard to claims 45-48, the growth factors, the cell cycle inhibitors, the bone morphogenic proteins, and the molecules capable of inducing an upstream or downstream effect of a BMP are non elected member of the Markush group of claim 31 rejected using the antisense portion of DNA.

With regard to claims 49-52, polymer dispersion, polysaccharides, medical-grade biodegradable materials and the macromolecules are non-elected member of the Markush group of claim 33 rejected using the polycarboxylic acids.

### ***Conclusion***



Art Unit: 3738

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a).

Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thomas J. Sweet whose telephone number is 571-272-4761. The examiner can normally be reached on 5:45am - 4:15pm, Tu-Th.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Corrine M. McDermott can be reached on 571-272-4754. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3738

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

tjs



**CORRINE McDERMOTT**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 3700**